

Stocks & Shares to Cash ISA Transfer Form

For transfers in to Charter Savings Bank



Information about you

Title: Forename(s): Surname:

Date of Birth: National Insurance Number: Nationality:

You should be able to find your National Insurance Number on a payslip, P45 or P60, a letter from HM Revenue & Customs, a letter from the DWP, or pension order book.

Charter Savings Bank Application or Account Number:

Permanent residential address:

..... Postcode:

Home telephone: Mobile telephone: Work telephone:

Email:

Information about the Stocks & Shares ISA to be transferred

Name of existing ISA provider:

Address of existing ISA provider:

ISA Number/Reference:

Please note:

As well as being subject to the terms and conditions of the Cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your Stocks & Shares ISA. For example:

- Some Stocks & Shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g. when deciding which investments are sold to facilitate the transfer.
- Your existing ISA provider may need you to give them specific information before the transfer can go ahead.
- There may be outstanding fees to pay to your existing ISA provider before the transfer goes ahead.

If you're not sure what conditions apply, please check with your existing Stocks & Shares ISA provider before completing this form.

Q1. Do you want to transfer all or part of your Stocks & Shares ISA?

All Part

If you answered 'All' to question 1 this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to Charter Savings Bank in its entirety.

Q2. Have you subscribed to this Stocks & Shares ISA in the current tax year?

Yes No

If you answered 'All' to question 1, please go directly to the Transfer authority section below. If you answered 'Part' to question 1, please answer questions 3 - 5 below.

Partial Transfers

Q3. If you answered 'Yes' to Q2 do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)?

Yes No

Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.

Q4. If you only want to transfer your subscriptions from the current tax year, tick here

OR

If you only want to transfer all of your subscriptions from previous tax years, tick here

OR

If you only want to transfer some of your subscriptions from previous tax years (as well as investments relating to current year subscriptions if you answered 'Yes' to Q3) tick here and provide sale instructions by answering Q5.

Q5. Stocks, Shares and/or Funds that you wish to sell.

Please check your selection is permitted by your existing Stocks & Shares ISA provider prior to submitting the form. Please note that you may be contacted by your existing Stocks & Shares ISA provider to agree what to sell.

Please use the box below to give instructions to your current Stocks & Shares ISA provider with regard to what specific value or tax year(s) should be transferred and/or what investments should be realised to meet the transfer.

If needed, please list all investments that you hold with the above Stocks & Shares ISA provider that you wish to sell and transfer the proceeds to your new Cash ISA with Charter Savings Bank. Alternatively, you may provide this information by supplying a separate list, e.g. by annotating a valuation statement from your Stocks & Shares ISA provider.

Description of Investment	Investment Indicator e.g. ISIN, Sedol, Cuip etc. (if known)	Number and Value of Stocks, Shares, Units or specify "All" to sell the entire holding

Q5b. Uninvested cash to be transferred

Please say how much of your uninvested cash balance in your Stocks & Shares ISA you want to transfer £

OR

If you want to transfer all of your uninvested cash balance, tick here

Transfer authority (to be completed by the customer)

I authorise my existing Stocks & Shares ISA provider as specified above to sell investments and transfer the ISA in cash (account number overleaf) to Charter Savings Bank.

I authorise my existing Stocks & Shares ISA provider to provide Charter Savings Bank with any information, written or non-written, concerning the Stocks & Shares ISA and to accept any instruction from them relating to the Stocks & Shares ISA being transferred.

Where I must give notice to close or transfer part of the existing Stocks & Shares ISA, or the existing Stocks & Shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing Stocks & Shares ISA provider to either (tick the appropriate box):

1. Wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with this transfer.

OR

2. Depending on the terms and conditions, carry out the transfer as soon as possible. I accept any consequential loss of income, capital or charges that may be applied.

Signed: Date:

Please note:

- A failure to complete this form fully may result in a delay in the transfer.
- Charter Savings Bank must have received funds within 30 days of you applying for the product and we will not accept any funds into a Fixed Rate Cash ISA after that date. We may return deposits and transfers received as a result without interest. Transferring your Stocks & Shares ISA from one institution to another can take up to 30 days. We will work with your current Stocks & Shares ISA provider to do the transfer as quickly as possible.

Please return your completed Stocks & Shares to Cash ISA Transfer Form to the following address: [Charter Savings Bank, PO Box 855, Wallsend, NE28 5BL](#).

If you need any help or assistance please call us on [0800 032 9999](tel:0800 032 9999) or email us at info@online.chartersavingsbank.co.uk.

We can provide literature in large print, Braille and audio. Please ask us for this leaflet in an alternative format if you need it.